

**Name: Andres Gomez**

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| I. Profession                         | Accrediting Agency or Jurisdiction             | Date of Admission |
|---------------------------------------|--|-------------------|
| Dean                                  | Department of Education                        | 2011              |
| Guardian Ad Litem                     | NYS Unified Court System                       | 2020              |
| Court Evaluator                       | NYS Unified Court System                       | 2020              |
| Attorney                              | Southern District of New York                  | 2011              |
| Mediator                              | Southern District of New York Bankruptcy Court | 2011              |
| Counsel                               | US Bankruptcy Court S. Florida District        | 2010              |
| Arbitrator                            | Financial Industry Regulatory Authority        | 2015              |
| Arbitrator                            | National Futures Association                   | 2024              |
| Federally Authorized Tax Practitioner | Internal Revenue Service                       | 2010              |
| Accountant                            | NYS Unified Court System                       | 2011              |
| Receiver                              | NYS Unified Court System                       | 2020              |
| Property Manager                      | NYS Unified Court System                       | 2011              |
| Real Estate Broker                    | State of New York Department of State          | 2009              |
| Broker/Dealer                         | Securities & Exchange Commission               | 2008              |
| Investment Adviser                    | Securities & Exchange Commission               | 2009              |
| Municipal Securities Adviser          | Municipal Securities Board                     | 2009              |
| Commodities Pool Operator             | National Futures Association                   | 2009              |
| Variable Life Insurance Broker        | State of NY Department of Financial Services   | 2005              |
| Variable Annuity Broker               | State of NY Department of Financial Services   | 2005              |
| Accident & Health Broker              | State of NY Department of Financial Services   | 2005              |
| Accident Travel Insurance Broker      | State of NY Department of Financial Services   | 2005              |
| Information Assurance Eng. & Arch.    | United States Marine Corp                      | 2001              |
| Commander                             | Veterans of Foreign Wars                       | 2014              |

| II. Professional Organization                   | Date of Admission |
|---|-------------------|
| National Bar Association                        | 2011              |
| City Bar Justice Center                         | 2011              |
| Council for Exceptional Children                | 2011              |
| National Association of Insurance Commissioners | 2007              |
| Internet Engineering Task Force                 | 2010              |
| American Legion                                 | 2000              |
| Veterans of Foreign Wars                        | 2004.             |

### III. General Professional Experience

As the Principal Director and Chairman of AG Consultants (Broker Dealer, Registered Investment Advisory Firm) a New York based Investment Advisory Brokerage service I have managed the fiduciary accounts for institutional and qualified investors in different capacities- e.g. Counsel; Brokerage; Trust Services; Tax; Estate Planning; and IT Consulting. As managing director, I am charged with the development and enforcement of compliance and risk management directives and procedures. Services provided by the firm span across numerous industries and sectors including Private, Corporate, Government, National Exchanges, and Self-Regulated Organizations.

#### IV. General Pertinent Experience

Experiences include consultancies to Wall Street type institutions and financial intermediaries in their development of information systems directed towards acquiring and reorganizing debt and debt instruments. Lead focus groups for Investment Houses Dealings- primarily concerned consumer credit and property management strategies. Fiduciary responsibilities include wealth management strategies, REITs, and estate planning. Financial planning and real estate negotiations with reference to foreclosure sales and debt restructuring for private clients.

#### V. Mediation Training

I have participated in sixteen hours (16) of mediation training sponsored by the U.S. District Court for the Southern District of New York provided by the CPR Institute for dispute resolution. 20 hours of Basic and Advanced Arbitration and Mediation training from FINRA.

#### VI. Pertinent Bankruptcy Training

Have handled numerous Chapter 13 Cases in the Florida Southern District Bankruptcy Court. My experience also encompasses Chapter 13 and 11 cases within NYS.

#### VII. General pertinent business or legal experience

Of Counsel for litigation wing of Taylor One LLC and General Counsel to AG Consultants with emphasis on Estate Planning and Property Management; including Foreclosures, Bankruptcy, and state notice filings. Chief Compliance Officer (Risk Manager) for Registered Broker Dealer and Investment Advisor. Chief Legal Officer to AG Consultants a professional association engaged in pro bono services.